# MOTO

## The Smart Transportation & Technology ETF

GUINNESS ATKINSON FUNDS

As of September 30, 2026

## ABOUT MOTO

EVs, AVs, and transportation as a service can make life more efficient, save consumers billions of dollars, and save tens of thousands of lives each year.

The Guinness Atkinson Smart Transportation & Technology ETF invests in companies that we believe will benefit from this revolution in transportation. This includes companies that manufacture, distribute, service, offer, support, or enable the following: electric vehicles, autonomous vehicles, transportation as a service, flying autonomous vehicles, and autonomous or electric public transportation for passengers or goods.

## MOTO MORNINGSTAR RATINGS™

Category: Technology
Based on Risk Adjusted Returns

| | | |
|---|---|---|
| Overall | 3 ★★★ | out of 244 funds |
| 3-Year | 2 ★★ | out of 244 funds |
| 5-Year | 3 ★★★ | out of 222 funds |

## FACT SHEET FACTS

| | |
|---|---|
| Ticker | MOTO |
| CUSIP | 402031876 |
| Exchange | NYSE - ARCA |
| Benchmark Index | MSCI World NR |
| Inception Date | November 14, 2019 |
| Number of Holdings | 38 |
| AUM | $9.2 million |
| Annual Fund Operating Expense | 0.68% (net)<br>1.21% (gross) |
| Distribution Frequency | Annual |
| Portfolio Managers | Jonathan Waghorn<br>Will Riley |

## FUND CHARACTERISTICS

| | |
|---|---|
| Price to Earnings 2026 | 14.1 |
| Price to Book 2026 | 2.1 |

## PERFORMANCE

Performance data over 1 year is annualized

| AS OF 09/30/2026 | YTD | 1 Year | 3 Years | 5 Years | Since Inception |
|---|---|---|---|---|---|
| MOTO at NAV | 14.07% | 19.48% | 17.04% | 8.14% | 15.62% |
| MOTO at Market Price | 14.54% | 20.79% | 16.99% | 8.11% | 15.52% |
| MSCI World NR | 11.75% | 15.24% | 21.38% | 11.88% | 13.42% |



The Adviser has contractually agreed to reduce its fees and/or pay ETF expenses in order to limit the Fund's total annual operating expenses to 0.68% through June 30, 2029.

Performance data quoted represents past performance and does not guarantee future results. The investment return and principal value of an investment in the Fund will fluctuate so that an investor's shares, when redeemed, may be worth more or less than their original cost. Current performance of the Fund may be lower or higher than the performance quoted. Performance data current to the most recent month-end may be obtained by visiting gafunds.com, or calling (866) 307-5990. Market prices return is based on the market price of Fund shares as of the close of trading on the exchange where the shares are listed.

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## TOP 10 HOLDINGS

Holdings are subject to change.

| Net Assets (%) | Name |
|---|---|
| 6.04% | Taiwan Semiconductor Manufacturing Co Ltd |
| 5.19% | Quanta Services Inc |
| 4.79% | Amphenol Corp |
| 4.74% | NVIDIA Corp |
| 4.45% | Analog Devices Inc |
| 4.32% | Infineon Technologies AG |
| 4.16% | Eaton Corp PLC |
| 4.09% | Alphabet Inc |
| 3.58% | Siemens AG |
| 3.30% | Volvo AB |

## INDUSTRY CLASSIFICATION

| | |
|---|---|
| Technology & Transportation | 51% |
| Smart Transportation | 25% |
| Other | 8% |
| Technology | 6% |
| Industrial | 4% |
| Computer Services | 3% |
| Automobiles & Components | 1% |

## MARKET CAPITALIZATION BREAKDOWN

| | |
|---|---|
| Below $500m | 0% |
| $0.5bn - $1bn | 0% |
| $1bn - $5bn | 9% |
| $5bn - $10bn | 7% |
| Over $10bn | 81% |
| Cash | 2% |

## GEOGRAPHIC BREAKDOWN

| | |
|---|---|
| United States | 47% |
| Germany | 13% |
| South Korea | 7% |
| Taiwan | 6% |
| Sweden | 6% |
| Japan | 5% |
| Ireland | 4% |
| Netherlands | 3% |
| China | 2% |
| Hong Kong | 2% |
| United Kingdom | 2% |

## DISCLOSURE

**Price to Book** is the ratio used to compare a company's current market value to its book value. It is calculated by dividing the company's stock price per share by its book value per share.

**Price to Earnings** is the ratio of a company's share price to the company's earnings per share.

Investing involves risk, including possible loss of principal. Smart transportation products are subject to technological, cybersecurity, market, and regulatory risks which could adversely affect the value of companies in which the Fund invests. Investing in foreign companies entails additional potential market and regulatory risk.

**Consider the investment objectives, risks, charges and expenses of the Fund carefully before investing. For a prospectus or summary prospectus with this and other information, please call (866) 307-5990 or visit our website at www.gafunds.com. Read the prospectus or summary prospectus carefully before investing.**

The Morningstar Rating™ for funds, or "star rating", is calculated for managed products (including mutual funds, variable annuity and variable life subaccounts, exchange-traded funds, closed-end funds, and separate accounts) with at least a three-year history. Exchange-traded funds and open-ended mutual funds are considered a single population for comparative purposes. It is calculated based on a Morningstar Risk-Adjusted Return measure that accounts for variation in a managed product's monthly excess performance, placing more emphasis on downward variations and rewarding consistent performance. The top 10% of products in each product category receive 5 stars, the next 22.5% receive 4 stars, the next 35% receive 3 stars, the next 22.5% receive 2 stars, and the bottom 10% receive 1 star. The Overall Morningstar Rating for a managed product is derived from a weighted average of the performance figures associated with its three-, five-, and 10-year (if applicable) Morningstar Rating metrics. The weights are: 100% three year rating for 36-59 months of total returns, 60% five-year rating/40% three-year rating for 60-119 months of total returns, and 50% 10-year rating/30% five-year rating/20% three-year rating for 120 or more months of total returns. While the 10-year overall star rating formula seems to give the most weight to the 10-year period, the most recent three-year period actually has the greatest impact because it is included in all three rating periods.

Shares of the Fund are distributed by Foreside Fund Services, LLC. Foreside is not affiliated with Guinness Atkinson.