

GUINNESS ATKINSON FUNDS
DIVIDEND CALENDAR
Ordinary Income & Capital Gains
Calendar Year 2021

Fund	CUSIP	TICKER	Fund # (DST#)	
Guinness Atkinson Alternative Energy Fund	402031504	GAAEX	1298	
Guinness Atkinson Asia Focus Fund	402031108	IASMX	1096	
Guinness Atkinson Asia Pacific Dividend Builder Fund¹	402031603	GAADX	1299	
Guinness Atkinson China & Hong Kong Fund	402031207	ICHKX	1094	
Guinness Atkinson Dividend Builder Fund¹	402031801	GAINX	1092	
Guinness Atkinson Global Energy Fund	402031405	GAGEX	1098	
Guinness Atkinson Global Innovators Fund - Institutional	402031884	GINNX	5323	
Guinness Atkinson Global Innovators Fund - Investor	402031306	IWIRX	1095	
Guinness Atkinson Renminbi Yuan & Bond Fund¹	402031702	GARBX	1099	
	Record	Ex	Reinvest	Payment
Distributions	Date	Date	Date	Date
Ordinary Income¹	3/15/2021	3/16/2021	3/16/2021	3/16/2021
Ordinary Income¹	6/24/2021	6/25/2021	6/25/2021	6/25/2021
Ordinary Income¹	9/23/2021	9/24/2021	9/24/2021	9/24/2021
Ordinary Income and Capital Gains	12/2/2021	12/3/2021	12/3/2021	12/3/2021

SmartETFs	CUSIP	TICKER	Fund # (DST#)	
SmartETFs Smart Transportation & Technology ETF	402031876	MOTO	6086904	
SmartETFs Sustainable Energy II ETF	402031850	SULR	6099196	
SmartETFs Advertising & Marketing Technology ETF	402031868	MRAD	6097968	
SmartETFs Asia Pacific Dividend Builder ETF¹	402031843	ADIV	6097729	
SmartETFs Dividend Builder ETF¹	402031835	DIVS	6097711	
	Declare	Ex	Record	Pay
Distributions	Date	Date	Date	Date
Ordinary Income¹	TBD	6/25/2021	6/28/2021	6/29/2021
Ordinary Income¹	TBD	9/24/2021	9/27/2021	9/28/2021
Ordinary Income and Capital Gains	TBD	12/3/2021	12/6/2021	12/7/2021